

SUPERANNUATION INDUSTRY (SUPERVISION) REGULATIONS 1994 - REG 2.38

SECTION (1) (j): the following information about each executive officer of the RSE licensee of the entity or each individual trustee of the entity (relevant person):

- (i) the relevant person's name;
- (ii) the qualifications of the relevant person;
- (iii) a summary of the relevant person's experience as a trustee or board member, including the periods during which the relevant person served as a trustee or board member.

This document contains the Executive Officer details for Oasis Fund Management Limited as at 2 December 2020.

| NAME                     | QUALIFICATIONS                    | SUMMARY OF EXPERIENCE  |
|--------------------------|-----------------------------------|--|
| <b>SENIOR MANAGEMENT</b> |                                   |  |
| DAVID CHALMERS           | Master of Business Administration | <p>David is the Chief Financial Officer of IOOF Holdings Ltd and has more than 20 years' global finance experience across consulting, investment banking, private equity and corporate environments with Spark New Zealand, MediaWorks and Macquarie. Most recently he was Chief Financial Officer of Spark New Zealand, the country's largest telecommunications company.</p> <p>Current Directorships:</p> <ul style="list-style-type: none"> <li>• Bridges Financial Services Pty Limited (since Oct 2020)</li> <li>• Consultum Financial Advisers Pty Ltd (since Oct 2020)</li> <li>• Financial Services Partners Pty Limited (since Oct 2020)</li> <li>• Lonsdale Financial Group Limited (since Oct 2020)</li> <li>• Millennium 3 Financial Services Pty Ltd (since Oct 2020)</li> <li>• RI Advice Group Pty Ltd (since Oct 2020)</li> <li>• Shadforth Financial Group Limited (since Oct 2020)</li> <li>• Wealth Central Pty Ltd (since Sep 2020)</li> </ul> <p>Previous Directorships:</p> <ul style="list-style-type: none"> <li>• Infochoice Pty Ltd (Apr 2013 – Oct 2013)</li> <li>• Iselect General Pty Ltd (Apr 2013 – Oct 2013)</li> </ul> |

| NAME           | QUALIFICATIONS         | SUMMARY OF EXPERIENCE  |
|----------------|------------------------|--|
|                |                        | <ul style="list-style-type: none"> <li>• Iselect Health Pty Ltd (Apr 2013 – Oct 2013)</li> <li>• Iselect Life Pty Ltd (Apr 2013 – Oct 2013)</li> <li>• Iselect Limited (Apr 2013 – Oct 2013)</li> <li>• Iselect Media Pty Ltd (Apr 2013 – Oct 2013)</li> <li>• Iselect Mortgages Pty Ltd (Apr 2013 – Oct 2013)</li> <li>• Iselect Saleco Pty Limited (Nov 2013 – Jun 2014)</li> <li>• Iselect Services Pty Ltd (Apr 2013 – Oct 2013)</li> <li>• Mobileselect Pty Ltd (Apr 2013 – Oct 2013)</li> <li>• Time &amp; Tide Watches Pty Ltd (Jun 2014 – Jan 2019)</li> </ul>   |
| FRANK LOMBARDO | Bachelor of Economics. | <p>Frank is the Chief Operating Officer of IOOF Holdings Ltd and has over 20 years' experience across the financial services sector. He previously held senior management roles at NAB and Aviva Australia.</p> <p>Current Directorships:</p> <ul style="list-style-type: none"> <li>• Australian Executor Trustees (Canberra) Pty Ltd (since Apr 2019)</li> <li>• Australian Executor Trustees (Sa) Pty Ltd (since Apr 2019)</li> <li>• DKN Financial Group Pty Ltd (since Feb 2020)</li> <li>• DKN Management Pty Ltd (since Feb 2020)</li> <li>• DKN Stakeholders Pty Ltd (since Feb 2020)</li> <li>• DSMJ Pty Ltd (since Apr 2019)</li> <li>• Financial Acuity Limited (since Feb 2020)</li> <li>• IOOF Service Co Pty Ltd (since Apr 2019)</li> <li>• Managed Portfolio Services Limited (since Feb 2020)</li> <li>• Oasis Asset Management Limited (since Jan 2020)</li> <li>• OnePath Administration Pty Limited (since Jan 2020)</li> <li>• Plan B Administration Pty Ltd (since Feb 2020)</li> <li>• Plan B Group Holdings Pty Limited (since Feb 2020)</li> <li>• Plan B Trustees Pty Ltd (since Feb 2020)</li> <li>• Select Managed Funds Limited (since Feb 2020)</li> <li>• The Association of Superannuation Funds of Australia Limited (since Nov 2020)</li> </ul> <p>No previous Directorships</p> |

| NAME        | QUALIFICATIONS   | SUMMARY OF EXPERIENCE   |
|-------------|--|---|
| MARK OLIVER | Certified PS (RG146) – Diploma in Financial Planning<br>Certified Investment Manager – UK SIP Investment Management Certificate<br>Napier University and Napier College of Commerce, Edinburgh | <p>Mr Oliver has over 30 years’ experience across the financial services sector. He is currently Chief Distribution Officer.</p> <p>Current Directorships:</p> <ul style="list-style-type: none"> <li>• Ability One (WA/SA) Pty Ltd (since Feb 2020)</li> <li>• Austselect Pty Ltd (since Feb 2020)</li> <li>• Australian Executor Trustees (Canberra) Pty Ltd (since Feb 2020)</li> <li>• Australian Executor Trustees (SA) Pty Ltd (since Feb 2020)</li> <li>• DKN Management Pty Ltd (since Apr 2019)</li> <li>• DKN Stakeholders Pty Ltd (since Apr 2019)</li> <li>• Financial Acuity Limited (since Apr 2019)</li> <li>• IOOF Insurance Brokers Pty Ltd (since Feb 2020)</li> <li>• IOOF Service Co Pty Ltd (since Feb 2020)</li> <li>• Managed Portfolio Services Limited (since Nov 2017)</li> <li>• Mercantile Mutual Financial Services Pty Limited (since Jan 2020)</li> <li>• Oasis Asset Management Limited (since Jan 2020)</li> <li>• OnePath Administration Pty Limited (since Jan 2020)</li> <li>• Plan B Administration Pty Ltd (since Apr 2019)</li> <li>• Plan B Group Holdings Pty Limited (since Apr 2019)</li> <li>• Plan B Superannuation Services Pty Ltd (since Apr 2019)</li> <li>• Select Managed Funds Limited (since Apr 2019)</li> <li>• Snowball Capital Pty Ltd (since Feb 2020)</li> <li>• Tower Austrust Building Pty Ltd (since Feb 2020)</li> </ul> <p>No previous Directorships.</p> |

| NAME          | QUALIFICATIONS  | SUMMARY OF EXPERIENCE  |
|---------------|---|--|
| DANIEL FARMER | Master of Commerce<br>Bachelor of Economics   | <p>Dan is the Chief Investment Officer of IOOF Holdings Ltd and was appointed to the role of Chief Investment Officer in July 2017 and is a member of the Investment Management Committee. Prior to this he managed the Australian Equities portfolio for seven years and successfully implemented the boutique program and defensive equities portfolio. Previously, Dan was a Senior Executive in Investments at Telstra Super.</p> <p>Current Directorships:</p> <ul style="list-style-type: none"> <li>• Global One Alternative Investments Management Pty Ltd (since Jan 2020)</li> <li>• IOOF New Zealand Limited (since Jan 2019)</li> </ul> <p>No previous Directorships.</p>                            |
| SHARAM HEKMAT | Doctor of Philosophy<br>Bachelor of Science<br>Diploma of Building & Construction (Building)<br>General Certificate of Education  | <p>Sharam is the Chief Information Officer of IOOF Holdings Ltd and has over 25 years' experience within Information Technology including senior management positions at Aviva Australia, Kodak Australasia, Nortel Australia and Pragsoft Corporation. He most recently was Chief Technology Officer at Ezidebit and holds a PhD in Software engineering.</p> <p>No Current Directorships.</p> <p>Previous Directorship - Pragsoft Corporation Pty Ltd (Aug 2003 – Aug 2015).</p>   |
| RENATO MOTA   | Advanced Management Program<br>Columbia University, USA<br>Chartered Financial Analyst – CFA Institute<br>Bachelor of Commerce (Honours in Finance) – University of Melbourne<br>Bachelor of Business (Economics and Finance) – Royal Melbourne Institute of Technology | <p>Mr Mota is the Chief Executive Officer of IOOF Holdings Limited. He has over 20 years' experience in financial services in senior management and corporate strategy roles.</p> <p>Current Directorships:</p> <ul style="list-style-type: none"> <li>• Actuate Alliance Services Pty Ltd (since Dec 2015)</li> <li>• Australian Wealth Management Limited (since Dec 2018)</li> <li>• Australian Executor Trustees Ltd (since May 2020)</li> <li>• AustSelect Pty Ltd (since Feb 2020)</li> <li>• Bridges Financial Services Pty Limited (since Dec 2015)</li> <li>• Bridges Financial Services Group Pty Limited (since Dec 2015)</li> <li>• Consultum Financial Advisers Pty Ltd (since Dec 2015)</li> </ul> |

| NAME | QUALIFICATIONS | SUMMARY OF EXPERIENCE  |
|------|----------------|--|
|      |                | <ul style="list-style-type: none"> <li>• Cortex Advice Solutions Pty Limited (since Dec 2015)</li> <li>• Executive Wealth Management Financial Services Pty Limited (since Dec 2015)</li> <li>• Financial Acuity Limited (since Dec 2015)</li> <li>• Financial Investment Network Group Pty Limited (since Oct 2018)</li> <li>• Financial Partnership Pty Ltd (since Feb 2020)</li> <li>• Financial Planning Hotline Pty Limited (since Jan 2020)</li> <li>• Financial Services Partners Holdings Pty Limited (since Oct 2018)</li> <li>• Financial Services Partners Management Pty Limited (since Oct 2018)</li> <li>• Financial Services Partners Pty Limited (since Oct 2018)</li> <li>• FSP Group Pty Limited (since Oct 2018)</li> <li>• Group Investments Nominee Pty Limited (since Apr 2019)</li> <li>• Integrated Networks Pty Limited (since Oct 2018)</li> <li>• IOOF Alliances Pty Limited (since Nov 2014)</li> <li>• IOOF Holdings Ltd (since Jun 2019)</li> <li>• IOOF Life Pty Ltd (since Apr 2019)</li> <li>• IOOF New Zealand Limited (since Jan 2019)</li> <li>• IOOF Service Co Pty Ltd (since Oct 2015)</li> <li>• Lonsdale Financial Group Limited (since Dec 2015)</li> <li>• Managed Portfolio Services Limited (since Dec 2015)</li> <li>• Mercantile Mutual Financial Services Pty Limited (since Jan 2020)</li> <li>• Millennium 3 Financial Services Group Pty Ltd (since Oct 2018)</li> <li>• Millennium 3 Financial Services Pty Ltd (since Oct 2018)</li> <li>• OnePath Administration Pty Limited (since Jan 2020)</li> <li>• Plan B Group Holdings Pty Limited (since Feb 2020)</li> <li>• Plan B Trustees Pty Ltd (since Apr 2019)</li> <li>• RI Advice Group Pty Ltd (since Oct 2018)</li> </ul> <p>Previous Directorships:</p> <ul style="list-style-type: none"> <li>• Bridges Financial Planning Pty Limited (Dec 2015 – Jun 2020)</li> <li>• Bridges Nominees Pty Limited (Feb 2020 – Jun 2020)</li> <li>• Cable Nominees Proprietary Limited (Dec 2015 – Sep 2020)</li> <li>• Campbell Wallis Moule &amp; Co. Pty Ltd (Dec 2105 – Jun 2020)</li> <li>• DSMJ Pty Ltd (Jul 2018 – Apr 2019)</li> <li>• Financial Services Partners Incentive Co Pty Limited (Oct 2018 – Jun 2020)</li> <li>• FinHQ Pty Ltd (Dec 2015 – Sep 2020)</li> </ul> |

| NAME               | QUALIFICATIONS   | SUMMARY OF EXPERIENCE   |
|--------------------|--|---|
|                    |  | <ul style="list-style-type: none"> <li>• FSP Funds Management Pty Limited (Oct 2018 – Jun 2020)</li> <li>• FSP Portfolio Administration Pty Limited (Oct 2018 – Jun 2020)</li> <li>• FSP Super Pty Limited (Oct 2018 – Jun 2020)</li> <li>• IOOF Investment Services Ltd (Feb 2019 – Dec 2019)</li> <li>• Millennium3 Mortgage Platform Services Pty Limited (Oct 2018 – Jun 2020)</li> <li>• Perennial International Equities Management Pty Ltd (Feb 2020 – Sep 2020)</li> <li>• Perennial Investment Management Limited (Aug 2014 – Sep 2016)</li> <li>• Perennial Investment Partners Pty Ltd (Feb 2020 – Jul 2020)</li> <li>• RACQ Financial Planning Pty Ltd (Jan 2016 – Mar 2018)</li> <li>• SFG Platform Services Pty Ltd (Aug 2014 – Aug 2020)</li> <li>• Shadforth Family Office Pty Ltd (Jan 2018 – Sep 2020)</li> </ul> |
| LORNA JANE STEWART | <p>Institute of Chartered Accountants of Scotland (ICAS) - CA, Chartered Accountancy</p> <p>The Association of Corporate Treasurers - Diploma in Treasury</p> <p>University of Strathclyde – BA (Hons), Accounting</p> | <p>Ms Stewart is the Chief Risk Officer of IOOF Holdings Limited. Lorna is an experienced Chief Risk Officer with a demonstrated history of working in the insurance, energy and health care industry. Skilled in Accounting, Risk Management, Compliance, Business Continuity, Assurance, International Financial Reporting Standards (IFRS), Management Accounting and Project Delivery and Implementation.</p> <p>No current or previous Directorships.</p>  |